

November 19, 2016

## CURRICULUM VITAE

Name: JAMES A. CAPORASO

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University of Washington  
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Bachelor of Arts: Pennsylvania State University  
Major in Political Science, German Literature

Master of Arts: Villanova University, Political Science

Doctor of Philosophy: University of Pennsylvania, Political Science

Teaching Appointments: Northwestern University, September 1968 to June 1978  
University of Denver, September 1978 to 1987 as Andrew W. Mellon Professor of International Studies  
Harvard University, 1987 to 1988  
University of Washington, September 1988 to 1997 as Bloedel Professor of Political Science  
University of Washington, 1997 to present, Professor of Political Science

### Highlights

- Invitation to deliver the *Journal of Common Market Studies* annual lecture at the European Union Studies Association biennial conference in Miami, May 4-6, 2017, to be followed by publication in JCMS.
- President, International Studies Association, USA, March 1997 to March 1998.
- Director of European Union Center of Excellence, University of Washington, 2008 to 2014; Jean Monnet Chair 2015-present; and head of Jean Monnet Project 2015 to present.

- Lifetime Achievement Award for Contributions to European Union Studies, by the European Union Studies Association; award given in Boston, Ma, March 4-7, 2015.
- APSA paper award in my name for best paper in Comparative Political Studies during previous year, award to be given annually at APSA meetings, 2014 to present.
- Distinguished International Political Economy Scholar Award, International Studies Association, 2003.
- Chair, Executive Committee, European Community Studies Association (1995-1997)
- Treasurer, American Political Science Association, 1991-93
- Member, Executive Council, APSA, 1990-91
- Vice-President, International Studies Association, 1981-87
- Editor, **Comparative Political Studies**
- Member, Editorial Board of **European Political Science Review**, 2009 to present

### **Professional Memberships**

- American Political Science Association
- International Studies Association
- European Union Studies Association

### **In Progress**

*Theories of Institutions*, coauthored book with Joseph Jupille. Contract with Cambridge University Press.

*Power and Regionalism*, co-edited book with Min-hyung Kim. In early stages, participants expected to have a first draft by December 31, 2016. Workshop planned at UW in Spring of 2017 to present second drafts.

### **Publications**

“Breaking up is hard to do: why the Eurozone will Survive”, co-authored with Kevin Aslett, published in *Economies* (online journal), October 3, 2016.

“ ‘States Choose but not Under Circumstances of Their Own Making:’ A New Interpretation of the Integration Debate in Light of the Financial Crisis” with Min-hyung Kim, in James Caporaso and Martin Rhodes (eds.), *The Political and Economic Dynamics of the Eurozone Crisis*. Oxford University Press, 2016.

“Introduction: the Challenges of Monetary Union”, coauthored with Martin Rhodes, in *The Political and Economic Dynamics of the Eurozone Crisis*. Edited by James Caporaso and Martin Rhodes. Oxford University Press, 2016.

*The Political and Economic Dynamics of the Eurozone Crisis*. Oxford University Press. Edited book, co-edited by James Caporaso and Martin Rhodes. 2016.

### **Publications: Articles and Chapter**

“Still a Regulatory State: the EU and the Financial Crisis”, *Journal of European Public Policy*, coauthored with Min-hyung Kim, Warren Durrett, and Richard B. Wesley, published online December 6, 2014, pp. 1-21.

“Multilateralism: Trends, Limits, and Controversies” book edited by Mario Telo and Vivien Schmidt. **Globalization, Multilateralism, Europe**. 2015, Co-authored with Min-hyung Kim.

“The Maastricht Treaty at Twenty”, **Journal of European Integration**, November-December, 2012. Coauthored with Min-hyung Kim.

“Politics, a Definitional Discussion”, in **Dictionary of Politics**, edited by Jeannie Simmons. 2012.

Mary Anne Madeira and James A. Caporaso, “Regional Integration (supranational)”, in Bertrand Badie, Dirk Berg-Schlosser, and Leonardo Morlino, General Editors of the **International Encyclopedia of Political Science**. Sage Publications, 2011.

“Domesticating Discourses: European Law, English Judges and Political Institutions”, coauthored with Joe Jupille, **European Political Science Review**, volume 1, no. 2: 205-228 (2009).

“Polanyi in Brussels: Supranational Institutions and the Transnational Embedding of Markets”, coauthored with Sidney Tarrow, **International Organization**, vol. 63, no. 4:593-620 (Fall 2009).

“Is there a Quantitative-Qualitative Divide in Comparative Politics? The Case of Process Tracing”, in **Handbook of Comparative Politics**, edited by Todd Landman and Neil Robinson. Sage Publications 2009.

“The Dual Nature of European Identity: Subjective Awareness and Coherence”, coauthored with Min-hyung Kim, **Journal of European Public Policy**, Winter 2009, vol. 16, no. 1:19-42.

Co-editor with Herbert Kitschelt, Erik Wibbels, and Steven Wilkinson of a special 40<sup>th</sup> anniversary issue of **Comparative Political Studies**, “research frontiers in Comparative Politics”, April/May 2008.

“The Three Worlds of Regional Integration Theory” in Paolo Graziano and Maarten Vink (eds.), **Europeanization: New Research Agendas**, Palgrave Macmillan, 2006.

With Joerg Wittenbrinck, “New Modes of Governance and Political Authority in Europe: Introduction”, **Journal of European Public Policy**, March 2006, vol. 13, no. 4.

“The Promises and Possibilities of an Endogenous Theory of Institutional Change”, **Western European Politics**, special issue on “Interstitial Institutional Change”, March 2007.

“The Possibilities of a European Identity”, **Brown Journal of World Affairs**, vol. 12, issue 1 (Summer –Fall 2005).

With Jeff Checkel and Joseph Jupille, "Rationalism, Constructivism, and the Study of the EU", **Comparative Political Studies**, vol. 36, nos. 1-2, Feb-March 2003.

With Joseph Jupille, "Sovereignty and Territoriality in the EU: Defending the UK Institutional Order", in Chris Ansell and Giuseppe DiPalma (eds.), **Restructuring Territory: Europe and the US Compared**, Cambridge University Press, 2004.

"Is Democracy a Relevant Concept for the European Union?", **Italian Journal of Political Science**, Spring 2004.

"Changes in the Westphalian Order and the Emergence of a European Polity: Implications for Democracy", book edited by Sergio Fabbrini, **Nation, Federalism, and Democracy**. Editrice, Compositori, Bologna, Italy, 2001.

"Democracy, Accountability, and Rights in International Governance", chapter in book on **Globalization and Governance**, Editors, Miles Kahler and David Lake, published by Princeton University Press, 2003.

"Comparative Regionalism in a Global Context", with Young J. Choi, published in **Handbook of International Relations**. Sage Publications. Editors, Thomas Risse, Elizabeth Simmons, and Walter Carlsnaes. 2002.

"Europe and the Democratic Deficit: The Emergence of an International Rechtsstaat", in Andreas Heinemann-Gruder (ed.) **European Federalism: Between Integration and Separation**. Berghan Books Fall 2000.

"Changes in the Westphalian Order: Territory, Public Authority, and Sovereignty", **International Studies Review**, vol. 2, no. 2 (Summer 2000).

"Comparative Politics: Diversity and Coherence", in **Comparative Political Studies**, August-September 2000. Introduction to special issue of "Comparative Politics in the Year 2000."

"Regional Integration Theory: Understanding our Past and Anticipating our Future," **Journal of European Public Policy**, vol. 5, no. 1 (1998). (Also appeared in **European Integration and Supranational Governance**.)

With Alec Stone Sweet. "La Cour de Justice et l'integration Europeenne," **Revue Francaise de Science Politique**, vol 48, no. 2, April 1998.

Coauthored with Joseph Jupille, "The Europeanization of Social Policy and Domestic Structural Change", in Maria Green Cowles, James A. Caporaso and Thomas Risse (eds.), **Transforming Europe: Europeanization and Domestic Change**. Ithaca, New York: Cornell University Press.

Coauthored with Thomas Risse and Maria Green Cowles, "Introduction: Europeanization and Domestic Structural Change", in Maria Green Cowles, James A. Caporaso, and Thomas Risse (eds.), **Europeanization and Domestic Structural Change**. Ithaca, New York: Cornell University Press.

"Does the European Union Represent an N of 1?" in **ECSA Review**, vol. 10, no. 3 (Fall 1997).

Regional Integration Theory: Understanding our Past and Anticipating Our Future", in Wayne Sandholtz and Alec Stone Sweet (eds.), **European Integration and Supranational Governance**. Oxford, England: Oxford University Press, 1998. (Also appeared in **Journal of European Public Policy**.)

With Alec Stone Sweet, "A Tribute to Harry Eckstein", in **Comparative Political Studies**, vol. 31, no. 4 (August 1998).

With Joseph Jupille, "Institutionalism and the European Union: Beyond International Relations and Comparative Politics", in Nelson Polsby (ed.), **Annual Review of Political Science**, vol. 2, 1999.

Coauthored with Alec Stone, "From Free Trade to Supranational Polity: The ECJ and Construction of a Single Market" in Wayne Sandholtz and Alec Stone Sweet (eds.), **European Integration and Supranational Governance**. Oxford, England: Oxford University Press, 1998.

Coauthored with Joseph Jupille, "The EU as an Actor in Global Environmental Politics", in Carolyn Rhodes (ed.) **The European Union and External Relations**. Boulder, CO: Lynne Rienner Publisher, 1998.

"Across the Great Divide: Integrating Comparative and International Politics" **International Studies Quarterly**, Fall 1997. Also in Working Paper, Robert Schuman Centre, European University Institute, No 97/58. October, 1997.

"The European Union between Federalism and Regulation," in Richard Herr and Steven Weber (eds.) **European Integration and American Federalism: A Comparative Perspective**, University of California, Berkeley, 1996.

"The End of Comparative Politics," in **APSA-CP: Newsletter of the APSA**, Organized Section in Comparative Politics, vol. 7, no. 2, Summer 1996.

"The European Union and Forms of State: Westphalian, Regulatory or Post-Modern?" **Journal of Common Market Studies**, vol. 34, no. 1 (March 1996), pp. 29-52.

"Four Central Controversies of Regional Integration Theory: Implications for East Asia," **Korea in the 21st Century**, ed. Chae-Han Kim, published in Korean. 1996.

Coauthored with John Keeler, "The European Union and Regional Integration Theory," accepted for publication in **The State of the European Union**, edited by Carolyn Rhodes and Sonia Mazey, Lynne Rienner Publishers, Boulder, CO, Feb. 1995.

"False Divisions: Security Studies and Global Political Economy," **Mershon International Studies Review**, vol. 31, supp. 1 (April 1995), pp. 117-122.

"Research design, falsification and the qualitative-quantitative divide." (Symposium on Gary King, Robert O. Keohane, and Sidney Verba's **Designing Social Inquiry: Scientific Inference in Qualitative Research**), **American Political Science Review**, vol. 89, no. 2 (June, 1995) p. 457 (4).

"Toward a sociology of international institutions: Critique of regime theory and future perspective," **International Social Science Journal**, no. 138 (November 1993).

"International Relations Theory and Multilateralism: The Search for Foundations," **International Organization**, vol. 46, no. 3 (Summer 1992). Also in John G. Ruggie (ed.), **Multilateralism Matters**. New York: Columbia University Press, 1993.

"Global Political Economy," in Malcolm Sawyer and Philip Aresti (eds.) **Handbook of Radical Political Economy**. England: Edward Elgar Publishing, 1993.

"Global Political Economy," in Ada Finifter (ed.), **Political Science: The State of the Discipline II**. Washington, D.C.: American Political Science Association, 1993 (*n.b.*, this is different from the preceding article).

Co-authored with Linda Cornett, "'And Still It Moves': State Interests and Social Forces in the European Community," in James Rosenau and Ernst-Otto Czempiel (eds.), **Governance Without Government**. Cambridge and New York: Cambridge University Press, 1992.

"Has Europe Changed? Domestic Politics and Institutions in the New Europe," in Robert Jackson (ed.), **Europe in Transition**. New York: Praeger, 1991.

"Australia and the Northeast Asian Ascendancy: Perspectives of An Outsider," in J.L. Richardson (ed.), **Northeast Asian Challenge: Debating the Garnaut Report**. Australian National University Press, 1991.

"The State in Comparative and International Perspective," in James A. Caporaso (ed.), **The Elusive State**. Beverly Hills: Sage Publications, 1989.

"Neoclassical Economics and International Institutions," in E.O. Czempiel and James N. Rosenau (eds.), **Global Changes and Theoretical Challenges**. Lexington, MA: D.C. Heath, 1989.

Co-authored with Stephan Haggard. "Power In the International Political Economy," in Richard Stoll and Michael Ward (eds.), **Power in World Politics**. Boulder, CO: Lynne Rienner Publishers, 1989.

"International Political Economy: Fad or Field?," **International Studies Notes**, Fall 1987.

Co-authored with Kegley, Rosenau, Hermann, and Zinnes "Future Directions in the Comparative Study of Foreign Policy," **International Studies Notes**, Fall 1987.

"The Global Factory," by Flamm and Grunwald, book review, **American Journal of Sociology**, Fall 1987.

"The International Division of Labor: A Theoretical Survey," in **International Political Economy Yearbook**, vol. 2, Boulder, CO: Lynne Rienner Publishers, October 1986.

"Labor in the Global Political Economy," in **International Political Economy Yearbook**, vol. 2, Boulder, CO: Lynne Rienner Publishers, October 1986.

"Conceptual Approaches to Regional Integration: The Case of Latin America," published as a chapter in Heraldo Munoz and Francisco O. Vicuna (eds.), **La Cooperacion Regional En America Latina**. El Colegio de Mexico, 1982.

"The European Community in the Evolving Division of Labor," in Stohl and Targ (eds.), **The Global Political Economy in the 1980's**. New York: Schenkman, 1985.

"Conceptual Approaches to Global Political Economy," co-authored with W. Ladd Hollist, **Yearbook of Global Political Economy**, vol. 1., Boulder, CO: Westview Press, 1984.

"Interdependence and the Coordination of Economic Policies in the Atlantic World," Charles Foster and Wolfram Hanrieder (eds.). **The Coordination of Foreign and Domestic Policies in the Atlantic World**. New York: Pergamon Press, 1982.

"The State's Role in Third World Economic Growth," **Annals of the American Academy of Political and Social Science**, January 1982.

"Peripheral Industrialization: The Evolving Global Distribution of Labor," in Hollist and Rosenau (eds.), **Continuity and Change in the International System**. Beverly Hills: Sage Publications, Inc., 1981.

Co-authored with Behrouz Zare, "An Interpretation and Evaluation of Dependency Theory," in Heraldo Munoz (ed.), **From Dependency to Development**. Boulder, CO: Westview Press, 1981.

"Dependency Theory: Continuities and Discontinuities in Development Studies," **International Organization**, vol. 34, no. 4, Autumn 1980.

"International Development and Equality: Comments on the Structural Interpretation of International Inequality Project," in **Caribbean Yearbook of International Affairs**, 1980.

"Regional Integration in Eastern and Western Europe: Can They Be Meaningfully Compared?" in Paul Marer and John Michael Montias (eds.), **Economic Integration in Eastern Europe**. Bloomington: Indiana University Press, 1980.

Book review of Neil Richardson's **Foreign Policy and Economic Dependence**, for **American Political Science Review**, March 1980.

"The European Community in the World System: Prolegomena to a Political Economy of the European Community," **Revue d'Integration Europeenne**, vol. 11, no. 3, May 1979, 415-440.

Coauthored with Michael Ward, "The U.S. in an Interdependent World: The Emergence of Economic Power," in Charles W. Kegley and Patrick J. McGowan (eds.), **Challenges to America, vol. 4, Sage International Yearbook of Foreign Policy Studies**. Beverly Hills: Sage Publications, 1979, 139-169.

"What is the New Nationalism? Or is There a New Nationalism?" in Werner Link and Werner Feld (eds.), **The New Nationalism: Implications for Transatlantic Relations**. New York: Pergamon Press, 1979, 6-24.

Book review of Benjamin Cohen's **The Question of Imperialism**, for **American Political Science Review**.

"Dependence and Dependency in the Global System: A Structural and Behavioral Analysis," lead article in special issue of **International Organization**, vol. 32, no. 1, February, 1978.

"Introduction," special issue of **International Organization on Dependency**, vol. 32, no. 1, February 1978.

Coauthored with Michael Dolan, "The External Relations of the European Community," **Annals of the American Academy of Political and Social Science**, no. 440, November 1978, 135-155.



"The Fate of the Nation-State in Western Europe: Economic Challenge and Political Response," in Arthur Cyr (ed.), **The State in Europe**. Chicago: Chicago Council of Foreign Relations, 1978.

"The External Consequences of Regional Integration for Pan-European Relations, Inequality, Dependence, Polarization, and Symmetry," **International Studies Quarterly**, vol. 20, no. 3 (September 1976), 341-392. (This article was selected as the best article of 1976 by **International Studies Quarterly**; translated and published by a Japanese journal.)

"A Philosophy-of-Science Assessment of the Stanford Studies in Conflict and Integration," in Francis W. Hoole and Dina A. Zinnes (eds.), **Quantitative International Politics: An Appraisal**. New York: Praeger Publishers, Inc., 1976.

"The Development of System Linkages in the European Community," in James A. Caporaso and Leslie Roos (eds.), **Quasi-Experimental Approaches**, 128-155.

"Methodological Issues in the Measurement of Inequality, Dependence, and Exploitation," in Steven J. Rosen and James R. Kurth (eds.), **Testing Theories of Economic Imperialism**, Lexington, MA: D.C. Heath and Co., 1974, 87-116.

"Quasi-Experimental Approaches to Social Science: Perspectives and Problems," in James A. Caporaso and Leslie Roos (eds.), **Quasi-Experimental Approaches: Testing Theory and Evaluating Policy**. Evanston, IL: Northwestern University Press, 1973, 3-38.

Comparative book review article of **International Community** by Roger Cobb and Charles Elder and **Collision in Brussels** by John Newhouse, **Journal of Common Market Studies**, Summer 1971, 339-346.

Book review of **Europe's Would-Be Polity: Patterns of Change in the European Community**, by Leon Lindberg and Stuart Scheingold, **Journal of Politics**, vol. 33, no. 2 (1971), 547-549.

"Economic and Political Integration in the EEC: A Time-Series, Quasi-Experimental Analysis," **American Political Science Review**, vol. 77, no. 2, June 1971, 418-433.

"Theory and Method in the Study of International Integration," **International Organization**, vol. 25, no. 2, 1971, 228-253.

"Fisher's Test of Deutsch's Sociocausal Paradigm of Political Integration," **International Organization**, vol. 25, no. 1, 1971, 120-121.

"Encapsulated Integrative Patterns vs. Spillover: The Cases of Agricultural and Transport Integration in the EEC," **International Studies Quarterly**, vol. 14, no. 4, December 1970, 361-394.

## **Books and Edited Works**

1. James A. Caporaso and Martin Rhodes (eds.) **The Political and Economic Dynamics of the Eurozone Crisis**. Oxford University Press, 2016.
- 1A James A. Caporaso and Mary Anne Madeira, **Globalization, Institutions, and Governance**. Sage Publications, October 2011.
2. Coedited with Herbert Kitschelt, Erik Wibbels, and Steven Wilkinson, special anniversary issue of **Comparative Political Studies**, "Research Frontiers in Comparative Politics", April/May 2008.
3. Rationalism and Constructivism and the Study of the EU, Special issue of **Comparative Political Studies**, coedited with Joseph Jupille and Jeff Checkel, **Comparative Political Studies**, vol 36, nos. 1-2, Feb-March 2003.
4. **The European Union: Dilemmas of Regional Integration**. Westview Press, Boulder, Co. July 2000.
5. Comparative Politics in the Year 2000. Diversity and Coherence. Special issue of **Comparative Political Studies**. August-September 2000. Editor.
6. Co-edited book with Maria Green Cowles and Thomas Risse, **Transforming Europe: Europeanization and Domestic Change**. Cornell University Press, Ithaca, New York, February 2001.
7. Change and Continuity in the Westphalian Order. Edited special issue of **International Studies Review**, vol. 2, issue 2, July 2000.
8. **Change and Continuity in the Westphalian Order**. Blackwell Press, Fall 2000.
9. Coauthored with David Levine, **Theories of Political Economy**. Cambridge and New York: Cambridge University Press, 1992.
10. Editor of **The Elusive State**. Newbury Park, CA: Sage Publishing, 1989.
11. Editor of **A Changing International Division of Labor, International Political Economy Yearbook**. Boulder, CO: Lynne Rienner Publishers, 1986.
12. Coauthored with Paul Friesema, Gerald Goldstein, Robert Lineberry and Richard McCleary, **Aftermath: Communities After Natural Disaster**. Beverly Hills: Sage Publications, 1979.
13. Editor of special issue of **International Organization** on "Dependence and Dependency in the Global System," vol. 32, no. 1, February 1978.
14. Co-editor with Arend Lijphart of a special symposium of **Comparative Political Studies** focusing on problems of comparative methodology, vol. 8, no. 2, 132-199.
15. **Quasi-Experimental Approaches: Testing Theory and Evaluating Policy**, co-edited with Lesli Roos. Evanston, IL: Northwestern University Press, 1973, 1-368.
16. **The Structure and Function of European Integration**. Pacific Palisades, CA: Goodyear Publishing Co., 1974, 1-214.
17. **Functionalism and Regional Integration: A Logical and Empirical Assessment**. Published by Sage papers in **International Politics**, 1972, 1-84.

### **Professional Distinctions and Responsibilities**

1. President, International Studies Association, USA, March 1997 to March 1998
2. Distinguished International Political Economy Scholar Award, International Studies Association, February 2003, Portland Oregon.
3. Association, February 2003, Portland Oregon.

4. Chair, Executive Committee, European Community Studies Association (1995-1997)
5. Editor, **Comparative Political Studies**, June, 1980 to present.
6. Treasurer, American Political Science Association, 1991-1993.
7. Member of Executive Committee, European Community Studies Association.
8. Nominating Committee Chair, International Studies Association, 1992.
9. Member of the Executive Council of the American Political Science Association, 1990-1991.
10. Member of the Editorial Board of **International Organization**, 1980-1982, 1984-1989.
11. Book Review Editor, **International Organization**, 1980-1982.
12. Member of the Editorial Board of the Denver Monograph Series on International Affairs.
13. Vice-president of the North American Section of the Committee on Atlantic Studies, 1980-1986.
14. Member of the National Steering Committee of the Council on European Studies, September, 1980-1982.
15. Member of the Advisory Panel of National Science Foundation for Political Science, 1980-1982.
16. Member of the International Advisory Panel, **Journal of European Integration**.
17. Associate Program Chair of the American Political Science Association Meetings, 1983.
18. Chairperson of the ISA Committee on Scientific Publications, 1984.
19. Member of American Political Science Association Nominating Committee, 1984-1986.
20. Member of the ISA Committee on Professional Rights and Responsibilities, 1985-1986.
21. vice-president (for North America) of the International Studies Association (term of office 1986-1987).
22. president, Midwest Peace Science Society, 1974-1976.